b Receipt date: 11/07/2006

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Shinji KOBAYASHI et al. Group Art Unit: 1725

Application Number: 10/594,154 Examiner: Unknown

Filed: September 25, 2006 Confirmation Number: 6071

For: PRODUCTION METHOD OF CURVED-SURFACE METAL MOLD HAVING FINE UNEVEN STRUCTURE AND PRODUCTION

METHOD OF OPTICAL ELEMENT USING THIS METAL MOLD

Attorney Docket Number: 063098
Customer Number: 38834

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450 November 7, 2006

Sir:

In compliance with 37 C.F.R. §1.56, Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached PTO/SB/08. This paper is being filed within the time periods set forth in 37 C.F.R. §1.97(b). A copy of each non-U.S. document is enclosed herewith.

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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| Compl                  | ete if Known            |  |  |
|------------------------|-------------------------|--|--|
| Application Number     | 10/594,154              |  |  |
| Confirmation Number    | 6071                    |  |  |
| Filing Date            | September 25, 2006      |  |  |
| First Named Inventor   | Shinji KOBAYASHI et al. |  |  |
| Art Unit               | 1725                    |  |  |
| Examiner Name          | Unknown                 |  |  |
| Attorney Docket Number | 063098                  |  |  |

| U.S. PATENT DOCUMENTS |              |          |                                   |                                |   |  |  |
|-----------------------|--------------|----------|-----------------------------------|--------------------------------|---|--|--|
| Examiner<br>Initials* | Cite<br>No.1 | Document | Number                            | Publication Date<br>MM-DD-YYYY |   |  |  |
|                       |              | Number   | Kind Code <sup>2</sup> (if known) |                                | Name of Patentee or Applicant of Cited Document |  |  |
|                       |              | US       |                                   |                                |   |  |  |
|                       |              | US       |                                   |                                |   |  |  |
|                       |              | US       |                                   |                                |   |  |  |
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|                       |              | US       |                                   |                                |   |  |  |
|                       |              | US       |                                   |                                |   |  |  |
|                       | <u> </u>     | US       |                                   |                                |   |  |  |

| FOREIGN PATENT DOCUMENTS |              |                              |                     |                                   |                  |                     |                             |                          |
|--------------------------|--------------|------------------------------|---------------------|-----------------------------------|------------------|---------------------|-----------------------------|--------------------------|
| Examiner<br>Initials*    | Cite<br>No.1 | Foreign Patent Document      |                     |                                   | Publication Date | Name of Patentee or | 75 1.43-16                  |                          |
|                          |              | Country<br>Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       |                     | Applicant of Cited Document | Translation <sup>6</sup> |
| /AA/                     | 1            | JP                           | 62-096902           | ļ                                 | 05-06-1987       | Seiko Epson Corp.   | Abstract                    |                          |
|                          |              |                              |                     |                                   |                  |                     |                             |                          |
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|                          |              | <del> </del>                 |                     |                                   |                  |                     |                             |                          |

| NON PATENT LITERATURE DOCUMENTS |  |             |  |  |
|---------------------------------|--|-------------|--|--|
| Examiner<br>Initials*           | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation |  |  |
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| Examiner Signature | /Anita Alanko/ | Date Considere | d | 06/23/2008 |  |
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.